



SN: 09/443,963

Atty Dkt No. 99-064-MIS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JAMES P. HUGHES ET AL.

Group Art Unit: 2766

Examiner: Not Yet Assigned

Serial No.: 09/443,963

Filed: November 19, 1999

For: ENCRYPTION KEY MANAGEMENT SYSTEM USING
MULTIPLE SMART CARDS

Attorney Docket No.: 99-064-MIS

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**INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 C.F.R. 1.97 (e)(1)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. 1.56 and 1.97-1.98, the references listed and identified on the attached Form 1449 are being submitted herewith for consideration by the Examiner. The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Statement, i.e., May 25, 2001.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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that any of the references are "material" as defined under 37 C.F.R. 1.56(b).

A copy of each reference listed on the attached Form 1449 is included herewith. In addition, a copy of the International Search Report issued by the European Patent Office in a foreign counterpart application is included herewith to satisfy the concise explanation of relevance requirement. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

JAMES P. HUGHES ET AL.

By: _____

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